



JPW
1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Steven M. H. WALLMAN
Serial Number : 10/627,873
Filed : July 28, 2003
For : METHOD AND APPARATUS FOR ENABLING INDIVIDUAL OR
: SMALLER INVESTORS OR OTHERS TO CREATE AND
: MANAGE A
: PORTFOLIO OF SECURITIES OR OTHER ASSETS OR
: LIABILITIES ON A COST EFFECTIVE BASIS
Art Unit : 3692
Examiner : Ms. Susanna M. Meinecke Diaz

Mail Stop Issue Fee
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313

AMENDMENT UNDER 37 C.F.R. §1.312

Sir:

Applicant respectfully submits the following amendment under Rule 312.

Amendments to the Claims begin on page 2 of this paper.

Remarks begin on page 8 of this paper.